



# NZX Limited - Monthly Shareholder Metrics

## June 2017

These Shareholder Metrics represent the key features of NZX's operations in each of its core businesses. The Metrics focus on what drives the revenue performance of NZX, so as to give a consistent and transparent comparison across time periods for each business line.

### Markets

#### NZX Listed Issuers

	Jun 2017	Change YoY
Total Equity Securities	164	-4.7%
Total Listed Debt Securities	114	18.8%
Total Other Securities	26	-3.7%
Total Listed Securities	304	3.1%
Total NZX Main Board Issuers	163	-3.0%
Total NZAX Issuers	17	-10.5%
Total NXT Issuers	4	0.0%
Total NZDX Issuers	43	4.9%

#### Capital Raising

	Jun 2017	YTD
<b>New Capital Listed</b>		
IPOs and Compliance Listings	\$0.00 b	\$0.48 b
New Debt Listings	\$0.77 b	\$1.54 b

#### Secondary Capital Raisings

	Jun 2017	YTD
Total Capital Raised By All Issuers	\$1,395 m	\$2,328 m
Total Equity Raised - Primary Issuers	\$1,210 m	\$1,473 m
Total Equity Raised - Dual & Secondary Issuers	\$0 m	\$214 m
Total Debt Raised	\$185 m	\$641 m
Number of Capital Raising Events	20	77
Capital Raised < \$0.5m	1	12
Capital Raised > \$0.5m < \$10m	12	35
Capital Raised > \$10m < \$100m	5	27
Capital Raised > \$100m	2	3

#### Market Capitalisation

	Jun 2017	Change YoY	% of GDP
All Equity	\$123.8 b	5.1%	46.7%
All Debt	\$27.4 b	21.8%	10.3%

#### NZX Indices Performance

	Jun 2017	Change YoY
S&P/NZX 50 Index	7,611	10.3%
S&P/NZX 50 Portfolio Index	4,715	11.0%
S&P/NZX A-Grade Bond Index	5,025	3.0%
S&P/NZX All Swap Index	197	0.8%

#### Cash Market Trading

	Jun 2017	Change YoY
Total Trades	183,360	11.2%
Daily Average Trades	8,731	11.2%
Total Trades < \$50k	174,379	13.6%
Total Value Traded	\$3.7 b	-14.0%
Daily Average Value Traded	\$178 m	-14.0%

#### Total Equity Transactions

	Jun 2017	Change YoY
Total Trades	179,801	11.2%
Value Traded	\$3.6 b	-15.2%

#### Total Debt Transactions

	Jun 2017	Change YoY
Total Trades	3,559	8.9%
Value Traded	\$178 m	23.1%

#### Cumulative Numbers - YTD

	Jun 2017	Change YoY
Number of Trades	923,078	0.0%
Total Value Traded	\$19.8 b	-12.7%

#### Clearing House / Depository

	Jun 2017	Change YoY
Gross Value of Cleared Trades over Month	\$3.8 b	-13.8%
Collateral Held At Month End	\$54.2 m	35.4%
Depository transactions	2,495	12.5%
Assets under custody in depository	\$417 m	17.7%
Value of stock lent over month	\$385 m	4.9%

#### Wholesale Data

	Jun 2017	Change YoY
Terminal Numbers - Professional*	6,186	2.3%
Terminal Numbers - Retail*	1,242	0.3%
Licences - Value > \$20k pa	34	21.4%
Licences - Value < \$20k pa	60	-4.8%

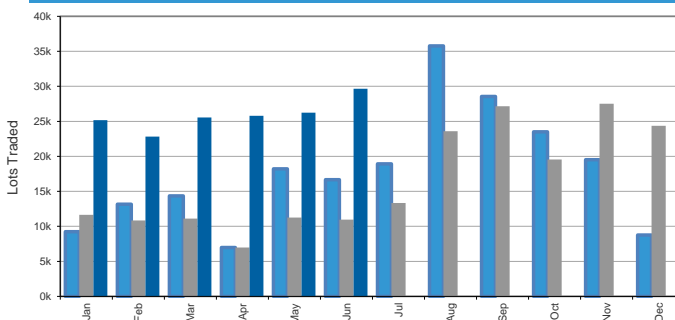
\* 3 month average

#### Direct Data Products

	Jun 2017	Change YoY
Proprietary Security Subscription Products	389	9.3%
- Products ≥ \$3,000 p.a	151	11.9%
- Products < \$3,000 p.a.	238	7.7%

Derivatives	Jun 2017 Change YoY	
Futures - Total Lots Traded	24,021	133.2%
Options - Total Lots Traded	5,645	754.0%
Open Interest	48,025	107.0%

Derivatives Total Lots Traded 2015 / 2016 / 2017



Energy Contracts	Jun 2017
Operating / Technology Contracts	17
- Contracts > = \$500k p.a.	4
- Contracts < \$500k p.a.	13

## Funds Services

SuperLife	Jun 2017	Change YoY
Funds Under Management - KiwiSaver	\$661 m	23.0%
Funds Under Management - Other	\$1,144 m	18.7%
Total	\$1,805 m	20.2%

Smartshares	Jun 2017	Change YoY
Funds Under Management - External	\$574 m	22.6%
Funds Under Management - SuperLife funds	\$1,291 m	16.1%
Total	\$1,866 m	18.0%
Units on Issue	822 m	15.2%

NZX Wealth Technologies	Jun 2017	Change YoY
Funds Under Administration	\$1,229 m	-6.2%

Renamed from Aptyrx

## Agri

Direct Data Products	Jun 2017	Change YoY
Subscriptions - NZ Agri Data Products	2,663	17.0%
Subscription - Australian Agri Data Products	1,482	-7.4%
Total Paid Advertising Page Equivalents*	128	25.1%
Total Paid Advertising Page Equivalents YTD*	651	6.4%

\* Total paid advertising page equivalents relates to Farmers Weekly only.

## Notes

- All figures are measured at the end of the last trading day of the month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
- All Equity Market Capitalisation includes all securities quoted on the NZX Main Board, NZX Alternative Market and NXT Market.
- Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
- NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

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