



These Shareholder Metrics represent the key features of NZX's operations in the core business of Markets, Infrastructure and Information. The Metrics focus on what drives the revenue performance of NZX, so as to give an accurate, consistent and transparent comparison across time periods for each business line.

## MARKETS

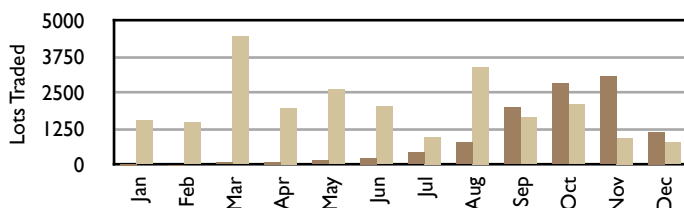
NZX LISTED SECURITIES	FY 2012	CHANGE YOY
Total Equity Securities	167	-2.3%
Total Listed Debt Securities	97	-9.3%
Total Other Securities	7	-36.4%
Total Listed Securities	271	-6.2%
CAPITAL RAISED	FY 2012	CHANGE YOY
Total Capital Raised By All Issuers	\$4,617 million	-61.1%
- Total Equity Raised - Primary	\$999 million	-38.4%
- Total Equity Raised - Dual & Secondary	\$3,299 million	-64.2%
- Total Debt Raised	\$319 million	-69.3%
Number of Capital Raising Events	280	-8.5%
- Capital Raised < \$0.5m	115	-5.0%
- Capital Raised > \$0.5m < \$10m	126	-3.1%
- Capital Raised > \$10m < \$100m	31	-20.5%
- Capital Raised > \$100m	8	-50.0%
New Capital Listed	\$1,555 million	-59.4%

MARKET CAPITALISATION	FY 2012	CHANGE YOY	% GDP
All Equity	\$66.1 billion	18.3%	31.7%
All Debt	\$14.7 billion	-8.3%	7.0%

LINK MARKET SERVICES	FY 2012	CHANGE YOY
Total Number of Issuers	149	6.4%

## DERIVATIVES

### Lots Traded 2011 / 2012



	FY 2012	CHANGE YOY
Lots Traded	24,183	117.3%
Open Interest	2,178	-65.8%

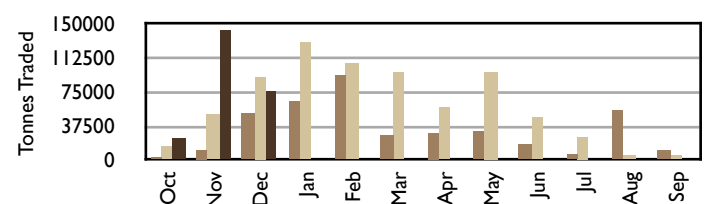
CASH MARKET TRADING	FY 2012	CHANGE YOY
Total Trades	902,273	21.8%
Average Daily Trades	3,595	22.3%
Total Trades < \$50k	835,575	23.0%
Total Value Traded	\$30.3 billion	6.0%
Daily Average Value Traded	\$121 million	6.4%
Total Equity Transactions		
Total Trades	864,810	23.3%
Value Traded	\$29.2 billion	6.5%
Total Debt Transactions		
Total Trades	37,463	-5.0%
Value Traded	\$1.1 billion	-7.0%
Cumulative Numbers - YTD		
Number of Trades	902,273	21.8%
Total Value Traded	\$30.3 billion	6.0%

SMARTSHARES	FY 2012	CHANGE YOY
Funds Under Management	\$320 million	8.7%
Units on Issue	166 million	-3.3%
Number of Funds	5	0.0%

INDICES PERFORMANCE	FY 2012	CHANGE YOY
NZX 50	4,067	24.2%
NZX 50 Portfolio	2,440	25.8%
ANZ A-Grade Bond Index	4,042	6.3%
ANZ All Swaps Index	164	4.5%

## GRAIN TRADING

### Tonnes Traded Monthly (10/11 v 11/12 v 12/13 season)



	FY 2012	CHANGE YOY
Tonnes Traded	816,667	64.6%

# INFORMATION

WHOLESALE DATA	FY 2012	CHANGE YOY
Primary Data Distributors	31	0.0%
Real Time Data Terminals	6,879	-5.5%
Other Data Distributors	57	0.0%

DIRECT DATA PRODUCTS	FY 2012	CHANGE YOY
Dairy Information Subscriptions	9,349	0.6%
Grain Information Subscriptions	2,105	-4.3%
General Agri Subscriptions	9,548	0.8%
Proprietary Security Subscription Products	534	-12.0%
- Products >= \$3000 p.a.	184	-16.4%
- Products < \$3000 p.a.	350	-9.6%
Total Subscriptions	21,536	-0.1%
Total Paid Advertising Page Equivalents	2,615	4.5%

# INFRASTRUCTURE

CLEARING HOUSE/DEPOSITORY	FY 2012	CHANGE YOY
Gross value of cleared trades	\$30.3 billion	6.0%
Collateral held at year end	\$19.3 million	46.2%
Depository transactions	19,809	64.6%
Assets under custody in depository	\$179.4 million	15.7%
Value of stock lent over year	\$2.2 billion	82.8%

MARKET OPERATIONS	FY 2012
Operating / Technology Contracts	14
- Contracts >= \$500k p.a.	6
- Contracts < \$500k p.a.	8

## NOTES

1. Change refers to the change since the same period last year unless otherwise stated.
2. New Capital Listed refers to the initial market capitalisation of Initial Public Offerings.
3. All Equity Market Capitalisation includes all securities quoted on the NZX Main Board and NZX Alternative Market.
4. Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
5. The Grain Trading season in Australia runs from October to September.
6. NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between data and that disclosed in subsequent audited financial statements.

**To enquire about NZX products or services, please contact: NZX Data on +64-4-4714390 or email: [info@nzx.com](mailto:info@nzx.com).**

**To enquire about information listed on this sheet, please email [info@nzx.com](mailto:info@nzx.com).**