



# NZX Limited - Monthly Shareholder Metrics

## AUGUST 2011

These Shareholder Metrics represent the key features of NZX's operations in the core business of Markets, Infrastructure and Information. The Metrics focus on what drives the revenue performance of NZX, so as to give an accurate, consistent and transparent comparison across time periods for each business line.

## MARKETS

NZX LISTED SECURITIES	AUGUST 2011	CHANGE YOY
Total Equity Securities	170	-5.0%
Total Listed Debt Securities	109	-5.2%
Total Other Securities	12	-14.3%
Total Listed Securities	291	-5.5%

CAPITAL RAISED	AUGUST 2011	YEAR TO DATE
Total Equity Raised	\$56 million	\$1,274 million
Total Debt Raised	\$151 million	\$612 million
Total Capital Raised	\$207 million	\$1,886 million
New Capital Listed	\$217 million	\$588 million

MARKET CAPITALISATION	AUGUST 2011	CHANGE YOY	% GDP
All Equity	\$56.9 billion	10.9%	28.6%
All Debt	\$15.7 billion	2.1%	7.9%

LINK MARKET SERVICES	AUGUST 2011	CHANGE YOY
Total Number of Issuers	136	-2.9%

CASH MARKET TRADING	AUGUST 2011	CHANGE YOY
Total Trades	85,992	100.6%
Average Daily Trades	3,739	91.9%
Total Trades < \$50k	80,372	109.0%
Total Value Traded	\$2.6 billion	51.0%
Daily Average Value Traded	\$115 million	44.5%

Total Equity Transactions		
Total Trades	82,504	111.0%
Value Traded	\$2.6 billion	54.4%

Total Debt Transactions		
Total Trades	3,488	-7.4%
Value Traded	\$76 million	-12.6%

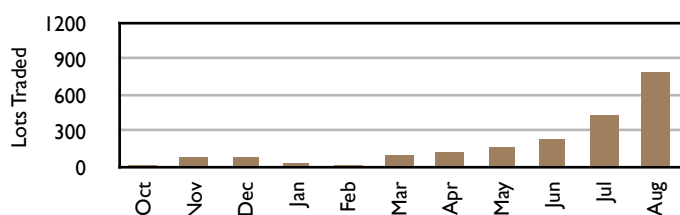
Cumulative Numbers - YTD		
Number of Trades	491,363	38.3%
Total Value Traded	\$19.6 billion	37.2%

SMARTSHARES	AUGUST 2011	CHANGE YOY
Funds Under Management	\$316 million	0.9%
Units on Issue	184 million	0.1%
Number of Funds	5	0.0%

INDICES PERFORMANCE	AUGUST 2011	CHANGE YOY	CHANGE YTD
NZX 50	3,323	9.5%	0.4%
NZX 50 Portfolio	1,967	6.7%	-1.9%
ANZ A-Grade Bond Index	3,685	7.0%	5.9%
ANZ All Swaps Index	150	5.7%	5.7%

## DERIVATIVES

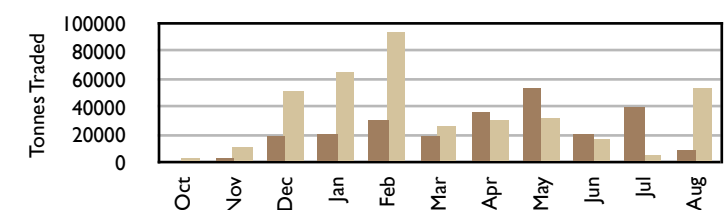
### Lots Traded



	AUGUST 2011	CHANGE MOM
Lots Traded	804	76.7%
Open Interest	1,518	63.2%

## GRAIN TRADING

### Tonnes Traded Per Month (09/10 season v 10/11 season)



	SEASON-TO-DATE	CHANGE YOY
Tonnes Trades	394,024	54.5%

# INFORMATION

WHOLESALE DATA	AUGUST 2011	CHANGE YOY	CHANGE YTD
Primary Data Distributors	30	20.0%	15.4%
Real Time Data Terminals	7,499	1.4%	-0.5%
Other Data Distributors	55	N/A	34.1%

DIRECT DATA PRODUCTS	AUGUST 2011	CHANGE MOM	CHANGE YTD
Dairy Information Subscriptions	9,051	0.1%	7.8%
Grain Information Subscriptions	1,743	8.1%	3.5%
General Agri Subscriptions	9,556	3.4%	78.8%
Proprietary Security Subscription Products	617	21.0%	26.4%
- Products >= \$3000 p.a.	226	17.1%	93.2%
- Products < \$3000 p.a.	391	23.3%	13.7%
Total Subscriptions	20,967	2.8%	31.8%
Total Paid Advertising Page Equivalents	200	17.0%	62.6%

# INFRASTRUCTURE AND TECHNOLOGY SERVICES

CLEARING HOUSE/DEPOSITORY	AUGUST 2011	CHANGE MOM	CHANGE YTD
Gross value of cleared trades over month	\$2.6 billion	0.0%	21.5%
Collateral held at month end	\$18.7 million	88.9%	137.9%
Depository transactions	1,890	41.0%	228.1%
Assets under custody in depository	\$160.3 million	-7.1%	-8.4%
Value of stock lent over month	\$81.6 million	28.7%	-3.2%

## ENERGY AND MARKET INFRASTRUCTURE CONTRACTS AUGUST 2011

Operating / Technology Contracts	14
- Contracts >= \$500k p.a.	6
- Contracts < \$500k p.a.	8

## NOTES

- All figures are measured at the end of the last trading day of the month. Change refers to the change since the same period last year unless otherwise stated i.e. Change MoM is change versus the previous month.
- New Capital Listed refers to the initial market capitalization of Initial Public Offerings.
- All Equity Market Capitalisation includes all securities quoted on the NZX Main Board and NZX Alternative Market.
- Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
- Direct Data Products does not include sales based on custom or one-off requests.

**To enquire about any NZX products or services, please contact: NZX Data on +64-4-4714390 or email: [info@nzx.com](mailto:info@nzx.com).**

**To enquire about any information listed on this sheet, please email [info@nzx.com](mailto:info@nzx.com).**